

## THE MINISTRY OF INFORMATION AND COMMUNICATIONS

# **SOCIALIST REPUBLIC OF VIET NAM Independence – Freedom - Happiness**

No.: 10/2020/TT-BTTTT

Ha Noi, May 07<sup>th</sup>, 2020

#### **CIRCULAR**

Amending and supplementing a number of contents of the Circular No. 30/2011 / TT-BTTT dated October 31, 2011 of the Minister of Information and Communications providing for regulation conformity certification and regulation conformity announcement Products and goods specialized in information technology and communication.

Pursuant to the Law on Telecommunication of November 23, 2009;

Pursuant to the Law on Radio Frequency of November 23, 2009;

Pursuant to the Law on Standards and Technical Regulations of June 29, 2006;

Pursuant to the Law on Product and Goods Quality of November 21, 2007;

Pursuant to the Government's Decree No. 127/2007/NĐ-CP of August 1, 2007, detailing a number of articles of the Law on Standards and Technical Regulations; Government's Decree No.78/2018/NĐ-CP of May 16, 2018 amending and supplementing a number of articles of the Government's Decree No. 127/2007/ND-CP of August 1, 2007, detailing the implementation of a number of articles of the Law on Standards and Technical Regulations;

Pursuant to the Government's Decree No. 132/2008/NĐ-CP of December 31, 2008, detailing a number of articles of the Law on Product and Goods Quality; the Government's Decree No. 74/2018/NĐ-CP of May 15, 2018 amending and supplementing a number of articles of the Government's Decree No. 132/2008/NĐ-CP of December 31, 2008 detailing a number of articles of the Law on Product and Goods Quality;

Pursuant to the Government's Decree No. 25/2011/NĐ-CP of April 6, 2011, detailing and guiding a number of articles of the Law on Telecommunications;

Pursuant to the Government's Decree No. 17/2017/NĐ-CP of February 17, 2017 defining the functions, tasks, powers and organizational structure of the Ministry of Information and Communication;

At the proposal of the director of the Telecommunications Department;



The Minister of Information and Communications issued the Circular amending and supplementing a number of contents of the Circular No. 30/2011/TT-BTTTT dated October 31, 2011 of the Minister of Information and Communications providing for Regulatory certification and regulation conformity announcement for products and goods specialized in information technology and communication.

Article 1: Amending and supplementing a number of contents of the Circular No. 30/2011/TT-BTTTT dated October 31, 2011 of the Minister of Information and Communications providing for Regulatory certification and regulation conformity announcement for products and goods specialized in information technology and communication.

- 1. Amending Clause 4, Supplementing Clause 9 of Article 2 as below:
- "4. Conformity certification organization can be any other certification bodies which are designated by MIC Vietnam for certifying products, goods on List of products, goods liable to cause unsafety under the management responsibilities of the Ministry of Information and Communications.
- "9. Product lot means a collection of defined goods categories which are determined about quantity, having the same name, utility, brand, model, specifications, have the same manufacturer, origin, and belongs to an import dossier set.
  - 2. Amending Article 11 as below:

### "Article 11: Method of Type Approval Certification

- 1. Type Approval Certification is performed by one of the following three methods specified in Article 5 of Circular No. 28/2012/TT-BKHCN dated December 12, 2012 of the Ministry of Science and Technology providing for standard conformity announcement, conformity announcement and method of conformity assessment with standards and technical regulations:
  - Method 1: Testing typical sample
- Method 5: Testing typical sample and assessing production process; supervising through testing the original sample at manufacturer or in the market and combine with the production process assessment.
  - Method 7: Testing, assessing products, good in Lot
  - 2. Principles of application:



- a) Method 1: Apply to issue Type approval Certificate for Products, Goods manufactured by factories have certificates of quality management system (ISO 9001 or equivalent)
- b) Method 5: Apply to issue Type approval Certificate for Products, Goods manufactured by factories, do not have certificates of quality management system (ISO 9001 or equivalent) but have production process and quality supervising for evaluation
- c) Method 7: Apply to issue Type Approval Certificate for Products, Goods are not applicable under method 1 and method 5.
- 3. Certification Method have to show on the Type Approval Certificate and be a basis for determining the scope of Type Approval Certificate, supervising method to ensure products, goods quality after certification."
  - 3. Amending Article 16 as below:

### "Article 16: Process and procedures for Declaration of Conformity:

- 1. For domestically produced Products:
- a) Organization, Individual make Declaration of Conformity dossier as provided in Clause 1, Article 17 of this Circular and send 01 (one) set to the dossier receiving division specified in Clause 3, Article 17 of this Circular.
  - b) Handing Process
- b.1. For incomplete Declaration of Conformity dossier as provided in Clause 1, Article 17 of this Circular, with thin 02 (two) working days from receiving dossier, Telecommunication Authority shall notify in document request for supplementation of the prescribed papers to organizations and individuals submit declaration of conformity. Within 15 working days from notice, organizations and individuals cannot fully implement, Telecommunication Authority shall cancel the processing of this Dossier.
- b.2. For complete dossier as provided in Clause 1, Article 17 of this Circular, within five (5) working days from receiving Declaration of Conformity dossier, Communication Authority shall organize the examination of the validity of dossiers
- b.2.1. In Cases dossiers complete and valid, The Authority shall issue Letter of Notification for Acceptance of Declaration of Conformity Announcement for organizations and individuals (according to the form in Appendix 3 of this Circular)
- b.2.2. In Cases dossiers complete but not valid, the Authority shall notify in document to organizations and individuals about reasons for non-receipt of dossiers.



- c) The term of Acceptance of Declaration of Conformity Announcement as follow:
- c.1. Three (03) years from the date of Acceptance of Declaration of Conformity Announcement (In cases Declaration of conformity under Point a, Clause 2, Article 4 of Decree no. 132/2008/NĐ-CP dated December 31, 2008, amended in Clause 2, Article 1 of Decree no. 74/2018/NĐ-CP dated May 15, 2018).
- c.2. According to the validity of Type Approval Certificate or valid for three (3) years from the date of Declaration of Conformity Announcement (In case of Declaration of Conformity under Point b, Clause 2, Article 4 of Decree No. 132/2008/NĐ-CP dated December 31, 2008 amended in Clause 2, Article 1 of Decree No. 74/2018/NĐ-CP dated May 15, 2018).
- d) For products, Goods exempted from Declaration of Conformity as provided in Clause 2, Article 8 of this Circular, organizations and individuals send official letter on purpose of using products, goods to Communication Authority and do not submit Declaration of Conformity Dossier.

#### 2. For imported products:

- a) Organizations, individuals submit 01 set of IPQR accroding the regulation at the point a Clause 2a Article 7 of Decision No. 132/2008/ND-CP dated 31/12/2008 supplemented at Clause 3 Article 1 Decision No. 74/2018/ND-CP dated 15/5/2018 and Clause 2 Article 4 of Decision No. 154/2018/ND-CP dated 09/11/2018 to the VNTA according to Clause 3 Article 17 of this Circular.
- b) Within 15 working days from the custom clearance date, organizations, individuals have to complete the application documents according to Clause 2 of Article 17 of this Circular and submit 1 set to VNTAaccording to Clause 3 Article 17 of this Circular.
- c) For products which are exempted from SDoC regulated at Clause 2 of Article 8 of this Circular, organizations, individuals send letters to VNTA which explain about the using purposes of the products at the time of submitting the IPQR and do not need to do the process regulated at the point b of this Clause.
  - 4. Amending Article 17 as below:

# "Article 17: Application documents of DoC/SDoC and places which accept the DoC/SDoC application documents

- 1. DoC application documents of the domestic products:
- a) DoC form is at the Annex III of this Circular



- b) In case organizations still have not had the business code or individuals still have not had the personal identification number, organizations, individuals submit the copy of one of below documents together with DoC application documents.
- b.1. Business license, Establishment decisions/license, Investment certificate/ Investment license (applied for organizations who still have not had the business code)
- b.2. Identity Card/Passport (applied for individuals who still have not had their personal identification number).

Organizations, individuals only submit these documents at the first time submitting DoC application documents to VNTA or when these documents have some changes.

- c) ICT label at the first time submitting DoC application documents to VNTA or when ICT label has some changes
- d) Copy of the type approval certificate (in case products belong to the list which state at the Point a Clause 1 Article 6 of this Circular)
- d) In case products belongs to the list which state at the Point b Clause 1 Article 6 of this Circular:
  - đ.1. DoC form include below information:
  - Name, address, telephone number, fax number of the organizations, individuals
  - Name of the products
  - Manufacturer
  - Applied standards
- Report number and report date. The test report must be issued by the labs which is regulated at the Clause 2 Article 5 of this Circular.
  - Conclusion: Products comply with Vietnam standards
- Commit the quality of the products comply with the Vietnam technical standards and take full responsibility before the law for product quality and self-declaration results

Self-Declaration is based on the local test reports or type approval certificates.

- đ.2. Technical specifications in Vietnamese or English has to include contents: product name, model name, technical information of products, external photos, manufacturer information.
  - 2. SDoC application documents of the imported products:
- a) ICT label at the first time submitting DoC application documents to VNTA or when ICT label has some changes.



b) In case products belong to list which is stated at the Point a Clause 1 Article 6 of this Circular: Copy of the type approval certificate issued to the importers or Copy of the type approval certificate issued to the manufacturer together with letter that manufacturer, local rep. of manufacturer sent to VNTA about using the type approval certificate.

The letter includes below information: Name, address, business code of the importers, model name of the imported products (This letter only need to submit to VNTA one time or if there is some change of the letter)

- c) In case products belong to the list which is stated at the Point b Clause 1 Article 6 of this Circular.
- c.1. SDoC issued by importers or SDoC issued by manufacturers/local rep. of manufacturer togetherwith letter that manufacturer, local rep. of manufacturer sent to VNTA about using the SDoC. The letter include: name, address, business code of the importers, model name of the imported products (this letter only need to submit to VNTA one time or if there is some change of the letter).

SDoC includes below information:

- Name, address, telephone number, fax number of the organizations, individuals
- Product name
- Manufacturer
- Applied standards
- Report number and report date. The test report must be issued by the labs which is regulated at the Clause 2 Article 5 of this Circular.
- Conclusion: Products comply with Vietnam standards
- Commit the quality of the products comply with the Vietnam technical standards and take full responsibility before the law for product quality and self-declaration results Self-Declaration is based on the local test reports or type approval certificates
- c.2. Technical specifications in Vietnamese or English has to include contents: product name, model name, technical information of products, external photos, manufacturer information.
  - 3. Places of accepting application documents:

Organizations, individuals submit the application documents at one of below places:

- a) Online submitting
- b) Directly submit at VNTA



- 5. Amending Clause 3 Article 18 as below:
- "3. Organizations, individuals is only allowed to use the ICT label after registering the ICT label. If the ICT label is not correct, VNTA will issue the letter within 03 working days to ask the organizations, individuals to correct their ICT label"
  - 6. Amending, supplementing Clause 1, Article 24 as below:
- "1. Organizations, individuals has to redo Declaration of Conformity process in below situations:
  - a. Technical design of products change. It causes the change of the applied standards
  - b. The content of the delaration of conformity application documents is changed
  - c. The type approval certificate is invalid.
  - 7. Change the form of the type approval certificate to the form in Annex of this Circular
  - 8. Change the "report of type approval certification situation" to the form in Annex of this Circular
  - 9. Abolish Article 3, Article 9, Article 13, Article 14, Article 22, Article 26, poit c, e of Clause 1 of Article 27 and form of application letter at the Annex 2 of Circular 30/2011/TT-BTTTT

#### **Article 2: Implementing organizations**

The director of the Telecommunications Department, heads of the agencies and units under the Ministry of Information and Communications, directors of under central provincial-level Information and Communications Departments, and related organizations and individuals shall implement this Circular.

#### **Article 3: Implementation article**

- 1. This Circular takes effect on July 01, 2020
- 2. Abolish Clause 5, Clause 7, Clause 9, Clause 10 and Clause 12 of Article 1 of Circular No. 15/2018/TT-BTTTT dated November 15, 2018, amending and supplimenting a number of contents of Circular no. 30/2011/TT-BTTTT dated October 31, 2011 of Minister of Information and Communications providing for Regulatory certification and regulation conformity announcement for products and goods specialized in information technology and communication.
- 3. TAC and ADoC issued before the effective day of this Circular shall continue to be implemented up to the end of the value.

#### **Article 4: Implementation Responsibility**



Any problems arising in the course of implementation should be promptly reported to the Ministry of Information and Communications (Telecommunication Authority) for consideration and settlement.

THE MINISTER OF INFORMATION AND COMMUNICATIONS

**NGUYEN MANH HUNG**